

101 Rec'd PCT/PTO 11 SEP 2000

410 Rec'd PCT/PTO 11 SEP 2000



09/601167

27428/36596

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

PETER ECK, ET AL.

Serial No: 09/601,167

Filed: July 28, 2000

Title: COMPRESSION OF DATA
WITH SYNTACTIC STRUCTURE

TRANSMITTAL OF EXECUTED DECLARATION
AND VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

Commissioner for Patents
Washington, D.C. 20231

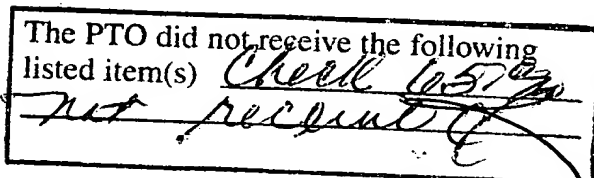
Attention: BOX PCT

Sir:

Submitted herewith is an executed Declaration and Small Entity Statement for filing in the above-identified application, in response to the Notice to File Missing Parts issued by the Patent and Trademark Office on August 11, 2000.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on September 7, 2000 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.



Nate F. Scarpelli

Also enclosed is a copy of the Notice together with our check in the amount of \$65.00 in payment of the fee.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this request is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,
MURRAY & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300

By: 
Nate F. Scarpelli
Reg. No: 22,320

September 7, 2000

09/601167



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

U.S. APPLICATION NO. 09/601,167	ECK	FIRST NAMED APPLICANT P	ATTORNEY NO. 27426736596
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CHICAGO IL 60606-6402

5611



INTERNATIONAL APPLICATION NO.
PCT/DE99/00213

I.A. FILING DATE	PRIORITY DATE
07/28/99	01/31/98

08/11/00

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 28 July 00 and _____

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☒ Substitute specification filed 28 July 00

☐ Statement Claiming Small Entity Status.

☒ Priority Document. (3)

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

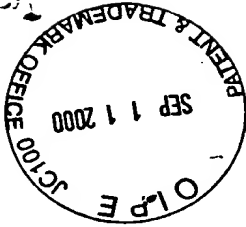
Telephone: (703)

Kaya Baltimore

National Stage Process

(703) 305-3095






Dep F Reg #9
Room 307

27428/36596
PATENT

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Application of:) I hereby certify that this paper is being
PETER ECK, ET AL.) deposited with the United States Postal
Serial No: 09/601,167) Service as first class mail, postage
Filed: July 28, 2000) prepaid, in an envelope addressed to:
For: COMPRESSION OF DATA) Commissioner for Patents
WITH SYNTACTIC STRUCTURE) Washington, D.C. 20231, on this date:
Examiner: To Be Determined) September 7, 2000
)
) 
) Nate F. Scarpelli
) Reg. No. 22,320
)

REQUEST FOR REFUND (37 C.F.R. 1.28(a))

Commissioner for Patents
Washington, DC 20231

Attn: Refund Section, Accounting Division,
Office of Finance

Sir:

I. SUBMISSION OF VERIFIED STATEMENT

- (a) ☒ Attached is a verified statement claiming small entity status in this application.
- (b) ☐ A verified statement claiming small entity status was filed in this application on .

US PATENT & TRADEMARK
OFFICE
2000 SEP 15 PM 3:30
OFFICE OF FINANCE
REFUND BRANCH

II. REFUND REQUEST

This request for refund is being made within two months of the date a fee was paid in this application on July 28, 2000 in the amount of \$840.00. The amount of the refund requested is \$420.00.

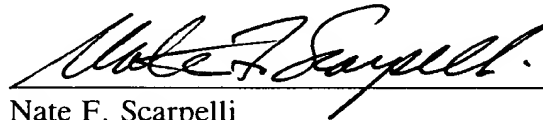
III. MANNER OF REFUND

Please refund overpayment to Marshall, O'Toole, Gerstein, Murray & Borun in the form of a check.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,
MURRAY & BORUN
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233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300

By:



Nate F. Scarpelli
Reg. No: 22,320

September 7, 2000